



## Fortis for Financial Services

### Mobilize documents with improved business processes

For a financial institution, business processes are the key to success. Each step in financial processes typically involves document creation and/or flow.

The auditability and traceability of customer requests, correspondence, applications, loan transactions, and related information are crucial to compliance and customer service.

**Fortis** document management software is being used effectively in the mortgage and commercial loan arena to handle document packages, versions, signatures, legal forms, and the effective transmittal of that information, all of which are crucial to success in the market.

**Fortis** provides the tools for SEC compliance in the financial services sector, as well as secure and accessible customer files.

In retail banking, **Fortis** is used for a wide range of banking functions, replacing paper for signature cards, account applications, correspondence, wire transfer instructions, and other paper records.

**Fortis** is ideally suited for mid-market banks that need to provide electronically-based client services like their large competitors. **Fortis** scales to the largest applications, with proven installations in financial services of up to 50 million documents.



**State Bank of Long Island** – This firm improved customer service, saved significant office space and administrative hours, and improved compliance with electronic handling of commercial, business, and municipal credit loan files.

**Gardiner Savings Institution** - A rapidly expanding Maine bank, has adopted Fortis to service mortgage and commercial loan applications and files at their 20 banking locations. With **Fortis**, the banks are able to eliminate

courier costs and make possible their acquisition growth seamlessly.

**Merchant Services** – This credit card clearing middleman handles \$7 billion in credit card transactions. With **Fortis**, they improved risk and fraud analysis performance and were able to increase yearly transactions by 36% with existing staff.

### Loans and Contracts

Mortgage, commercial, and personal loan origination and post processing can be handled by **Fortis**, which captures and versions documents, tracks versioning, and provides tools to review transmittal and packaging of loans.

### Client Records

**Fortis** provides a secure repository for capturing, archiving and retrieving records. Historical and current records are moved into an electronic archive, providing security, searchability, and the ability to store electronic copies offsite. These client records include signature cards, applications, and wire transfer instructions.

### Compliance

The **Fortis** repository can place documents into an unchangeable format and secure repository to monitor access, modification, and disposal of documents in compliance with SEC and other regulations.

### Administrative Systems

**Fortis** automates capturing invoices, HR information and a wide range of other administrative information.

### Disaster Recovery

**Fortis** provides for a complete offline mirroring of document repositories which provide the ability to rapidly conduct disaster recovery.

## Fortis for Financial Services

**Fortis** provides one document management backbone that will achieve compliance and improve business processes for your financial institution.

### Financial Applications

**Fortis** is in use successfully in a number of financial settings, ranging from retail banking, to mortgage loans, to financial services. Typical examples include:

- Loan origination
- Correspondence and SEC compliance
- Risk and fraud analysis
- Account applications and records
- Loan post processing
- Client files, signature cards
- Check 21
- Credit transactions

### Scalable Solutions

**Fortis** is used by a wide range of banks and financial institutions. Representative users include:

- State Bank of Long Island
- Merchant Services Inc.
- New York Life Investment Management
- Gardiner Savings
- Principal Resources LLC
- Charter Bank
- Santander Bank & Trust

### Integrated Document Management

**Fortis** provides a full range of document management capabilities including:

- Image capture and OCR
- Versioning and archiving
- Records management and retention
- Security and management
- Viewing and annotation
- Disaster recovery

